

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Adam Michael Fenne

Serial No. 09/428,395

Filed: October 28, 1999

For:

MATCHING OF A REDUCED

SPECTRUM LIGHTING SOURCE WITH VIDEO ENCODING PROGRAM

VARIABLES FOR INCREASED

DATA COMPRESSION

RATIOS

Group Art: 2613

Examiner: Howard W. Britton

PETITION TO WITHDRAW HOLDING OF ABANDONMENT

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby petitions the Commissioner of the U.S. Patent and Trademark Office to withdraw the holding of abandonment on the above referenced application. Under *Delgar v. Schulyer*, 172 USPQ 513 (D.D.C. 1971), and MPEP § 711.03(c), Applicant's failure to receive an Office action may be considered in a petition to withdraw the holding of abandonment. Applicant failed to receive a notice of allowance mailed by the U.S. Patent and Trademark Office on February 11, 2003, and requests that the holding of abandonment on the above referenced matter be removed in consideration of the remarks below.

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached hereto) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 2023/1.

Date of Deposit

Signature of Person Mailing Paper

REMARKS

On December 19, 2002, Applicant timely filed a terminal disclaimer and response to an Office Action, mailed by the U.S. Patent and Trademark Office on October 3, 2002. A postcard was received from the U.S. Patent and Trademark Office on January 3, 2003, stamped December 27, 2002 by the P.T.O. See Exhibit 1, attached herein. The Office Action response was entered by our docketing manager, Mr. Kory Mingus, and a status check for the patent application was noted in the docket for December 19, 2003. See Exhibit 2, attached herein. A one-year status check after the filing of a response is standard procedure for our firm.

On June 2, 2003, at the request of the patent agent in charge of the application, Ms. Robin Clow of Howrey Simon spoke with Examiner Britton regarding the status of the application. Examiner Britton notified her that the application was allowed and a notice of allowance was mailed on February 11, 2003. Ms. Clow immediately spoke to several individuals at the U.S. Patent and Trademark Office, and on June 13, 2003, a notice of allowance was faxed to our office by Ms. Toni Hood in the Office of Publications. A thorough search of the file jacket and docket sheet uncovered no notice of allowance documents or entries. In addition, a search of our computer databases for routinely scanned material received by the U.S. Patent and Trademark Office turned up no documents for the instant application during the relevant period of time.

In light of the foregoing remarks, Applicant believes that he has made a good faith showing that the office action was not received. Applicant has also fulfilled the requirements under 37 C.F.R. § 1.181(f) by timely filing this petition within two weeks of receiving the faxed notice of allowance. In further support of his good faith showing, Applicant includes with this Petition a completed Issue Fee Transmittal and Issue Fee, which is fully responsive to the non-received notice of allowance.

Applicant believes that under MPEP § 711.03(c), no fee is due with this Petition. However, if any fees are required, the Commissioner is hereby authorized to charge our Deposit Account No. **08-3038**, referencing Docket No. 05742.0007.CPUS00. Withdrawal of the holding of abandonment, and entry of payment for the enclosed issue fee notification is respectfully requested.

Respectfully submitted,

Date: June 27, 2003

William C. Rooklidge, Reg. No. 31, 791

HOWREY SIMON ARNOLD & WHITE, LLP 301 Ravenswood Avenue, **Box No. 34** Menlo Park, CA 94025 FAX No. (650) 463-8400 Telephone No. (949) 759-3904



Howrey - SV

Haallaallaaddahdahlaadddahdaal



POSTAGE WILL BE PAID BY ADDRESSEE

HOWREY SIMON ARNOLD & WHITE, LLP
301 RAVENSWOOD AVENUE REC
BOX 34
MENLO PARK, CA 94025

NO POSTAGE	
NECESSARY	
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UNITED STATES	.

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CEIVED		
N 0 3 2003		

Please indicate receipt of the be	-identified paper:		<u> </u>	PTO
New Application For:	Utility	Priority Date:	· •	
Foreign priority already cla	imed	Fee Amount:	or any related fee	not specified
☐ Continuation ☐	CIP	☐ Divisional	CPA 🗌	/+
Specification:	:	Drawings:		. :
Response to Office Action Dated: 10/3/02 Final Rejection				
Other: Transmittal Letter (2 pages); Submission of Formal Drawings; Formal Drawings; Termina				
Disclaimer; and postcard				
Assignment Enclosed Cert. of Timely Mailing Exp. Mail: Exp. Mail: 17 2002				
IDENTIFICATION OF APPLICATION				
Serial No.: 09/428,395			ible Attorney: RC	
Title: MATCHING OF A REDUCED SPECTRUM LIGHTING SOURCE WITH VIDEO ENCODING				
PROGRAM VARIABLES FOR IN	ICREASED DATA CO	OMPRESSION RA	TIOS	<u> </u>
Applicant: Adam Michael Fenne		Entered	By:	
Client: Pixelon.com, Inc.		Firm File No.:057	42.0007.CPUS00	,
Mailed: 12/19/02 File	d: 10/28/99	Due Date:		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Adam Michael Fenne

Application No.: 09/428,395

Filed: October 28, 1999

For: MATCHING OF A REDUCED

SPECTRUM LIGHTING SOURCE WITH VIDEO ENCODING PROGRAM VARIABLES FOR INCREASED DATA

COMPRESSION RATIOS

Art Unit: 2613

Examiner: Howard W. Britton

FILE COPY

TRANSMITTAL OF RESPONSE TO OFFICE ACTION

Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

Applicant submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

- Response to Office Action;
- Submission of Formal Drawings;
- □ Terminal Disclaimer; and

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached hereto) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

Robin L. Clow

Name of Person Mailing Paper

B. Claw

Signature of Person Mailing Paper

Return postcard.

The U.S. Patent and Trademark Office is hereby authorized to charge \$55.00, and charge any fee deficiency or credit any overpayment, to our Deposit Account No. 08-3038, referencing Docket No. 05742.0007.CPUS00.

Respectfully submitted,

Dated: December \cancel{N} , 2002.

William C. Rooklidge, Reg. No. 31,791

HOWREY SIMON ARNOLD & WHITE, LLP

Box No. 34

301 Ravenswood Avenue

Menlo Park, CA 94025

Facsimile: (650) 463-8400 Telephone: (949) 759-2904



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Adam Michael Fenne

Serial No. 09/428,395

Filed: October 28, 1999

For: MATCHING OF A REDUCED

> **SPECTRUM LIGHTING SOURCE WITH VIDEO ENCODING PROGRAM**

VARIABLES FOR INCREASED

DATA COMPRESSION

RATIOS

Group Art: 2613

Examiner: Howard W. Britton

RESPONSE TO OFFICE ACTION

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In response to the Office Action mailed October 3, 2002, kindly enter the following remarks:

REMARKS

Claims 1-13 are pending in the instant application. Claims 9-13 have been allowed. Claims 1-8 were provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-6 of copending Application No. 09/351,618 (now U.S. Patent No. 6,470,048). The drawings were objected to as being informal.

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached hereto) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

12/	19	,2002
Date	of D)enosit

Robin L. Clow Name of Person Mailing Paper

Signature of Person Mailing Paper



All of the stated grounds of rejection have been rendered moot with the timely filing of a terminal disclaimer in compliance with 37 C.F.R. § 1.321(c). In addition, formal drawings in accordance with 37 C.F.R.§ 1.84 are herewith submitted to overcome the objections to the drawings. As such, the instant application is in condition for allowance. Prompt and favorable consideration of this Response is respectfully requested.

The U.S. Patent and Trademark Office is hereby authorized to charge \$55.00 for the Terminal Disclaimer Fee under 37 C.F.R. § 1.20(d), and charge any fee deficiency or credit any overpayment, to our Deposit Account No. 08-3038, referencing Docket No. 05742.0007.CPUS00.

Respectfully submitted,

Date: December / , 2002

William C. Rooklidge, Reg. No. 31, 791

HOWREY SIMON ARNOLD & WHITE, LLP 301 Ravenswood Avenue, **Box No. 34** Menlo Park, CA 94025 FAX No. (650) 463-8400 Telephone No. (949) 759-3904

CASE RECORD LISTING

File No

05742.0007.CPUS00

Old Matter Number

Status Code

PEN

CIP

Country Code

Case Type

US...

Short Title

Matching of a Reduced Spectrum Lighting Source with

Video Encoding Program Variables for Increased Data

Compression Ratios

Region

CALIFORNIA

Location Defendant (Opps)

Opposer (Opps)

Language of Filing

IRVINE

Last Amended

Last Amended By

KMINGUS

Entity Size Ind

CPA Reportable

Small Y

Module

Patents

Base Reference

Application No. / Date

<u>Date</u> 28 Oct 1999 <u>Number</u>

09/428,395

Grant / Issue No. / Date Publication (early)

Publication (post-examination)

Role Type

Inventor

<u>Name</u>

Fenne Adam Michael

Client

Pixelon.com, a California corporation

Billing Attorney

Rooklidge William C.

Responsible Attorney

Rooklidge William C.

Action Person

Westin Lori P.

CASE RECORD LISTING

Diary Due Dates	Action	Comments	Completed Date		
6/1/2003	Status of Filing Receipt	(orig d/l 5/5/02) Filing Receip missing from transferred file; 8/20/02 - sent Client further	(orig d/l 5/5/02) Filing Receipt missing from transferred file;		
		req for power to obtain			
		copy;10/22/02 - spoke to			
		Client remd of Filing Receipt			
		inst-client wil confer to	•		
		determine costs; per RClow's 4/29/03 email inst - move			
12/19/2003	Status Office Action	06/02/03 - per RClow talked			
		w/Exam Britton - case allowed	1		
		in February - Notice of			
		Allowance sent on 2/11/03 &			
1/28/2000	Chattan of Minning Danta	published 4/25/03			
	Status of Missing Parts		12/1/1999		
1/26/2000	Response to Missing Parts Due		1/20/2000		
6/20/2002	Status of Revocation/POA	12/20/01 - filed Rev/POA & Change of Correspondence	2/5/2002		
		Address; 01/07/02 - rec'd	•		
		Return Rev/POA Postcard			
		date-stamped 12/20/01;			
	•	02/05/02 - rec'd Notice Re			
		POA dated 1/7/02 - POA			
7/13/2002	Status of Assignment Decembel	accepted	5/10/2002		
1/13/2002	Status of Assignment Recordal	Pixelon to Dante due to a bankruptcy procedure	5/10/2002		
		re-submitted February 27,	•		
		2002 by Joel Voelzke;	;		
		05/10/02 - dtd Notice of			
10/1/0000		Recordation rec'd			
10/1/2002	Status of Patent Application	04/01/02 - left mess. w/ Ex.	10/9/2002		
		req. status of app.; 04/02/02 - Ex. left mess. con. that there			
		are no outstanding OA (no			
		action yet), Ex. says it will be			
		 3-6 m. before OA is ready to 			
		be sent;			
1/9/2003	Status Check		10/9/2002		
1/3/2003	Response to OA	10/09/02 - rec'd 3M Non-Final	12/19/2002		
	· ,	Office Action dtd 10/3; 10/11/02 - sent Client copy of			
		OA & req inst by 11/15/02; per			
		LPW's 11/18 dkt - rec'd Client			
		inst to file terminal disclaimer;			
		12/19/02 - filed Resp to OA;			
		12/19/02 - sent Client copy of			
2/2/2002	Response to OA Extension 1	Resp filed 01/03/03 - rec'd Return	12/10/2002		
		vi/vs/vs - rec a ketum	12/19/2002		
2/3/2003	Response to ON Extension 1	Postcard dtd 12/27/02	····		
3/3/2003	Response to OA Extension 2	Postcard dtd 12/27/02	12/19/2002		

CASE RECORD LISTING

Abstract

Remarks

Client No.: 17954-22

Examiner: George Bugg (703-305-2329)

12/20/01 - filed Rev/POA & Change of Correspondence Address; 01/07/02 - rec'd Return Rev/POA Postcard date-stamped 12/20/01; 02/04/02 - rec'd Notice of Non-Recordation dated 01/25/02 - 2-sided pgs not acceptable (Assignment from Pixelon to Dante re Bankruptcy sale also for 3NPUS00,4NPUS00, 5NPUS00, 6CPUS01, 7CPUS00, 8CPUS00, 9CPUS00 & 09/351,028 - see 0006NPUS00 for docketing); 02/12/02 - rec'd Notice of Recordation dtd 1/26/02 - Reel/Frame: 012336/0853, Recordation Date: 12/03/01, Dante Pugliese to

Pixelon.com, Inc.; per K. Cheatham - POA was rec'd and is in file;

Assigment Info (Bankruptcy Sale) Reel/Frame: 012675/0337 Assignor: Pixelon, Inc. Assignee: Dante Pugliese

Recordation Date: 02/27/02

Claims

Date Docketed: 02 Jun 2003	
DOCKETED BY:	CHECKED BY: